



## New Introducer Accreditation Checklist

**Complete this form ONLY if you are affiliated with, or employed by, an Aggregator (Master Introducer) that is currently accredited with the GE business you are seeking to represent.**

In order to comply with the accreditation requirements of GE Capital Finance Australasia and the entities listed in Schedule 1 of the important privacy notice, the following documentation and information must be provided. **No loan applications will be accepted until this process and the individual introducer training has been completed.**

Once completed, please fax this application, together with all supporting documentation, to the Accreditation Officer on:

Fax: 03 9921 6113

Phone enquiries to: 1300 722 596

### ALL STATES AND TERRITORIES

Please ensure the following items have been completed or provided together with this application form prior to faxing it to GE for consideration:

- Personnel Profile (refer attached template) to be completed
- Privacy Notice to be completed and signed
- Current copy of your certification with the Mortgage and Finance Association of Australia (MFAA) AMC Membership and/or Associate Finance Brokers Association (FBAA) Membership in your individual name
- Current copy of your Membership certificate with an ASIC-Approved External Dispute Resolution (EDR) Scheme (eg. C.O.S.L., A.B.I.O. etc.) in your personal or registered company name
- Formal Notification from your Aggregator (Master Introducer) of your affiliation with them which is less than 6 months old
- A copy of a piece of photo identification (Driver's licence or Passport)
- Evidence of completion of Anti-Money Laundering training as provided by either the MFAA or FBAA in the form of certificate of completion

### WA AND ACT ONLY

If your Credit Introduction activities or operations are located within Western Australia or ACT, please ensure the following additional items have been completed and provided together with this application form prior to faxing it to GE for consideration:

- Copy of Current Finance Brokers, Investment Advisers or Security Dealers Licence in your personal name or your employer's name
- Copy of Current Annual Finance Brokers Certificate
- Licence Restrictions (if any)

Please Note: If WA License details as above are provided, the EDR, MFAA and FBAA membership requirements may be waived.

### APPLICATION APPRAISAL PROCESS

**On receipt of all of the above, we will arrange relevant product training and upon successful completion of this training, we will issue you with your unique broker code**

# New Introducer Accreditation Application

## PERSONNEL PROFILE

Please write clearly and complete in capital/block letters.

I am a  Employed Loan Writer (Introducer)  Affiliated Loan Writer (Introducer) seeking to sell GE products  
 AND/OR I am a Company  Director/Principal  Manager  Administrator  
 Other

Seeking Certification with GE for  GE Money Mortgages  Personal Loans (GEPFL)  Wholesale Products

## MY CONTACT DETAILS

Salutation  Mr  Ms  Mrs First Name  Surname   
 Job Title  My GE Business Development Manager (if known)   
 My Email Address  Work No.   
 My Office Address  Fax No.   
 Suburb  State  Postcode  Mobile No.   
 My Postal Address  Other   
 Suburb  State  Postcode  Date of Birth

## MY COMPANY DETAILS

Please provide details of the company through which you are seeking GE Money Accreditation and the company you are currently employment by.

Master Introducer (Aggregator) Name

Company Trading Name (What is the name customers know you by?/What is the name on your letterhead?)

Registered Company Name (What is the name of the company you are employed by, Pty Ltd?)

ABN (What is your employer's Australian Business Number for GST purposes?)

## MY INDUSTRY QUALIFICATIONS AND MEMBERSHIPS

Finance Industry Memberships

MFAA Membership Number\*  Exp. Date  &/or FBAA Membership Number\*  Exp. Date   
 \*Please attach relevant certificates

Please provide the name of the ASIC-approved External Dispute Resolution (EDR) Scheme you are covered by

EDR Scheme Name  EDR No.  Exp. Date   
 \*Please attach relevant certificates

Other Industry Membership Details/relevant qualifications

## MY WORK HISTORY

Previous Employers (last five years)	Description of position(s) held	Duration of Employment
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

## IMPORTANT NOTE

All parties, including Loan Writers (Introducers) as well as other company personnel (Directors, Managers, Administrators) completing this Personnel Profile must also read, agree to, and sign the attached Privacy Notice.

## TRAINING – OFFICE USE ONLY

In Person Training Provided for Personal Loans

On this date of

GE Money BDM Signature

# New Introducer Accreditation Application

## IMPORTANT PRIVACY NOTICE – PAGE 1 OF 2

### In this Privacy Notice:

'**GE**' means GE Capital Finance Australasia Pty Ltd (ABN 93 070 396 020) and the GE entities listed in Schedule 1 to this Notice.

'**Agreement**' means an Agreement with GE that authorises an Introducer or Aggregator to introduce applicants for certain finance products to GE or to undertake other duties on behalf of GE.

'**Appointment**' means an appointment by GE of:

- an Introducer or Aggregator under an Agreement; or
- an approved broker affiliate of an Aggregator who has entered into an Agreement.

'**Applicant**' means the organisation or person(s) named in Schedule 2 to this Notice.

'**Application**' means an application by the Applicant for the Appointment.

### 1. Consent to the use of my personal information in connection with the Application

By applying for or supporting the Application for the Appointment I am and will be disclosing personal information about me to GE. I agree to the Aggregator (if any) listed in Schedule 2 providing personal information about me to GE. I acknowledge that some or all of this personal information, and any other personal information GE collects about me, ("my personal information") will or may be used by GE to assess the Application for the Appointment, and if the Application is approved, for the subsequent administration of the arrangements and agreements that will apply following the Appointment.

**I consent to GE** and each of its related companies using and disclosing my personal information for this purpose and acknowledge that without this information GE may be unable to consider my application for the Appointment, and to perform necessary administrative tasks following my appointment.

### 2. Disclosures of my personal information

I understand that GE, and each of its related companies, may disclose my personal information, and any other information they collect about me, to each other and to: the Applicant or directors of the Applicant; to any Aggregator named in Schedule 2; credit reporting agencies; other financial institutions and credit providers; service providers; other entities to whom GE (or any of its related companies) is required by law, or authorised by me, to disclose my personal information, and subject to the Privacy Act 1988 (the "Privacy Act") and the other terms of this Notice, **I consent** to any such disclosures regardless of how or when that information was collected.

### 3. Other consents relating to the assessment of the Application

If and to the extent that GE does so in a manner and for purposes that conform with the Privacy Act: I agree to GE obtaining information about my commercial activities and commercial credit worthiness from a business which provides information about the commercial credit worthiness of persons, and to GE using that information in assessing the Application for the Appointment; and I also agree to GE giving to and receiving from any credit providers named in the Application, information about my credit arrangements, provided that the information is given or received to assess my credit worthiness.

### 4. Transfer of information overseas

I agree to the transfer of my personal information by GE (and each of its related companies) to organisations overseas, but only for use in connection with any purpose that GE is authorised by this Notice or the Privacy Act.

### 5. Access to information

Subject to the provisions of the Privacy Act, I acknowledge that I may have access to my personal information collected and held by GE. I acknowledge that I can enquire of GE as to the identity of each related company of GE and may contact GE for access to my personal information at the address shown in Schedule 1.

## INDEMNITY

I understand that the Aggregator that I am affiliated with, or employed by, has entered into an Agreement with GE. I wish to perform the services contemplated by the Agreement as an Introducer with respect to certain financial products (**Services**). In relation to performing the Services, I agree to indemnify GE against all claims, liabilities and costs (including legal costs on a solicitor and own client basis) which GE may pay, suffer or incur by reason of my negligent, fraudulent, dishonest or reckless action, omission or advice.

# New Introducer Accreditation Application

## Declaration by Introducer

### IMPORTANT PRIVACY NOTICE – PAGE 2 OF 2

I have read and now agree and consent to the matters set out in this application. Where this application is signed by two or more persons, it is to be read as given by each of us individually.

- 1) I/We confirm that the information contained in this application is complete and correct and truly represents my/our position at the time of signing the application
- 2) I/We acknowledge and agree to the matters set out in the Privacy Notice
- 3) I/We acknowledge and agree to indemnify GE in accordance with the indemnity set out in this application

### Signatures of persons seeking accreditation or supporting the Application

Dated   
Name   
Address   
Signature

Dated   
Name   
Address   
Signature

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### SCHEDULE 1

GE Mortgage Solutions Ltd	ABN 85 070 797 894	572 Swan Street, Richmond, VIC, 3121	trading as GE Money
GE Personal Finance Pty Ltd	ABN 53 008 443 810	572 Swan Street, Richmond, VIC, 3121	trading as GE Money
GE Automotive Financial Services	ABN 80 004 187 419	572 Swan Street, Richmond, VIC, 3121	trading as GE Money
GE Capital Finance Australia Ltd	ABN 42 008 583 588	572 Swan Street, Richmond, VIC, 3121	trading as GE Money
Australian Guarantee Corporation Pty Ltd	ABN 88 000 015 485	572 Swan Street, Richmond, VIC, 3121	trading as GE Money
AFIG Wholesale Pty Ltd	ABN 13 082 230 144	Level 6, 12 Castlereagh Street, Sydney, NSW, 2000	trading as GE Money

### SCHEDULE 2

Applicant   
Name and ABN (if any) of company or individual seeking the Appointment

Aggregator/Master Introducer (if any)   
Name and ABN of any Aggregator with whom the Applicant is affiliated